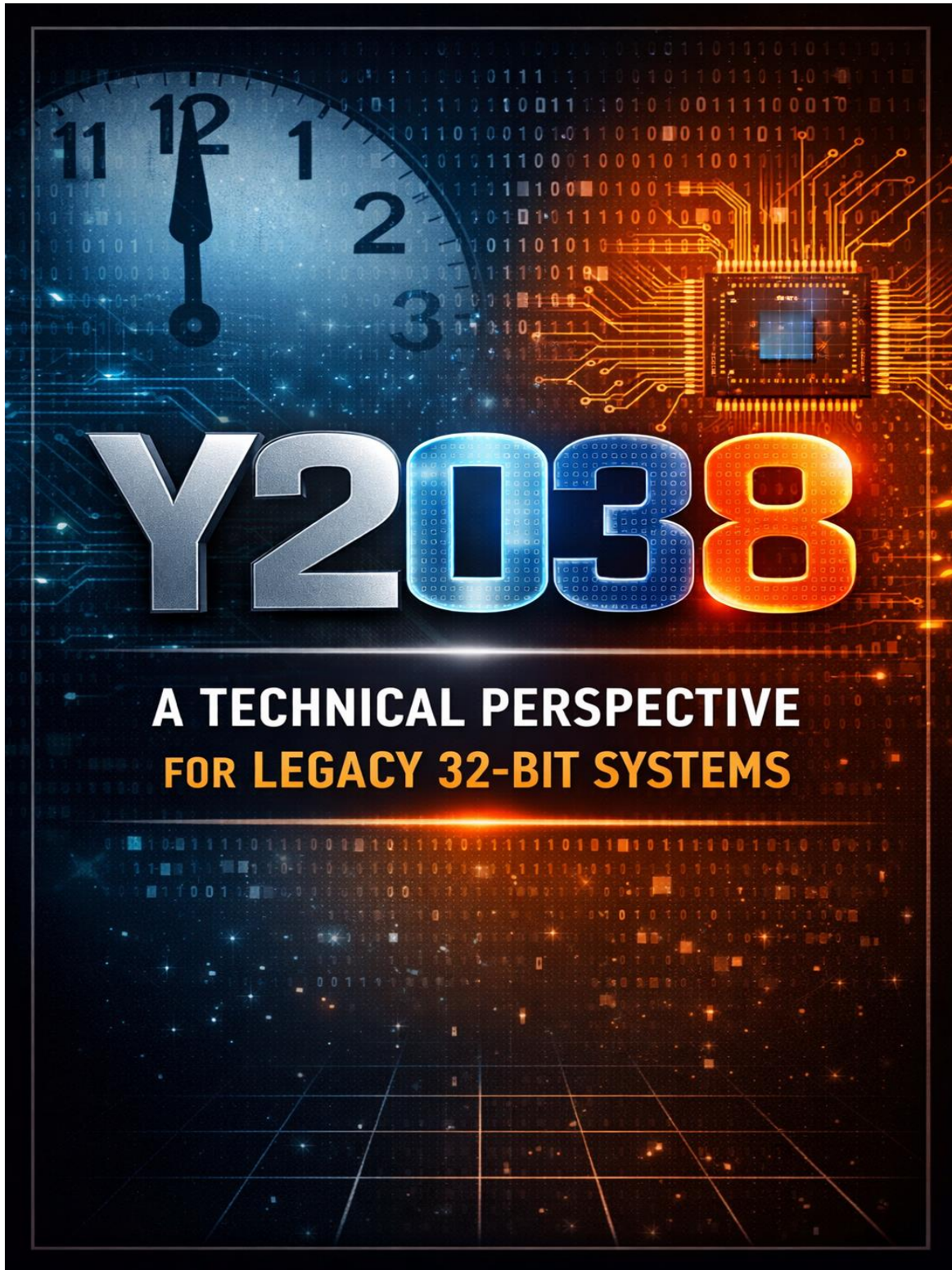




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Course Description

The Year 2038 (Y2038) problem represents a deterministic and well-defined technical limitation affecting legacy 32-bit computing systems that rely on Unix-derived time representations. This course provides a rigorous engineering-level examination of the Y2038 issue, beginning with the Unix Epoch and 32-bit signed integer time structures, and extending through operating systems, application programming interfaces, embedded computer systems, and safety-critical platforms.

Emphasis is placed on how Y2038 manifests differently across general-purpose operating systems, real-time operating systems, micro-controllers, and single-board computers, with particular attention to legacy systems that remain operational in industrial, transportation, energy, medical, and defense applications. The course further explores system evaluation methodologies, testing strategies, managerial and documentation challenges, legal considerations, and lessons learned from the Y2K remediation effort.

Engineers completing this course will gain the technical insight necessary to assess Y2038 exposure, distinguish benign from catastrophic failure modes, and participate meaningfully in mitigation planning for long-lived systems.

Intended Audience

This course is intended for licensed professional engineers and technical professionals involved in the design, maintenance, evaluation, or management of long-lived computing systems. It is applicable to engineers practicing in electrical, computer, software, systems, mechanical, aerospace, industrial, biomedical, transportation, energy, manufacturing, and defense-related fields.

The material is particularly relevant to engineers responsible for embedded systems, control systems, real-time platforms, safety-critical infrastructure, and legacy system modernization, as well as engineering managers and technical leads tasked with lifecycle risk assessment and mitigation planning.

Section 1: THE UNIX EPOCH

The Unix Epoch known today is referenced to January 1st, 1970 at 00:00:00 Coordinated Universal Time (UTC).^[1] An epoch is simply a referenced point in time. For computing purposes, the epoch represents a starting point to timestamp a file or an event. The current epoch is the number of seconds that have elapsed since the referenced starting point. Leap seconds that have been inserted into the atomic clocks governing UTC do not count towards the epoch.^[1]

Unix Epoch Implementations

Some earlier implementations of the epoch were based on an unsigned 32-bit integer. An unsigned integer runs from 0 to $2^{(n)} - 1$. For a 32-bit value, the count range is 0 to 4,294,967,295. This number may seem enormously large, but that was not the case. In 1971, the Unix “time” command reported time in sixtieths of a second. At this rate, the epoch would overflow (become negative) in approximately 2.5 years.^[2]

Modifications to the Unix Epoch

Two modifications were implemented into the epoch to correct the limited time. The first modification changed the 32-bit unsigned integer to a signed integer. A signed integer runs from $-2^{(n-1)}$ to $2^{(n-1)} - 1$. For a 32-bit value, the count range is -2,147,483,648 to +2,147,483,647. At first glance, this modification sounds counterintuitive. However, the second modification reduced the reporting interval from a sixtieth of a second to one full second. It takes far longer to count from 0 to 2,147,483,647 at a one second rate than from 0 to 4,294,967,295 at a sixtieth rate – approximately some 68 years. Thus, the final second of the epoch occurs on January 19th, 2038 at 03:14:07(UTC).^[3] The epoch rollovers on the next second.

Section 2: THE UNIX 32-BIT TIME STRUCTURE

Figure 1 illustrates the 32-bit Unix time structure.

```
struct timeval
{
    __time_t tv_sec;        /* Seconds. */
    __suseconds_t tv_usec; /* Microseconds. */
};
```

Figure 1. 32-Bit Unix timeval Structure

The tv_sec field is a 32-bit signed integer that returns the number of elapsed seconds since the epoch's inception. The tv_usec field is likewise a 32-bit signed integer, but its range is restricted by specification from 0 to 999,999 – negative values are not valid.

Programming Language Support for the timeval Structure

The C programming language was written in 1972 to implement the Unix Operating System. C is highly popular in the development of many systems due to its ability to interact directly with hardware. C supports the timeval structure by inclusion of the sys/time.h header file. C++ is an object orientated language that also directly supports the timeval structure.

C# is a popular language based on C syntax, but does not directly support the timeval structure. However, timeval support can be mimicked through Platform Invocation Services (P/Invoke). P/Invoke is a feature of the .NET runtime that allows managed code (C# or other .NET languages) to call unmanaged functions from native libraries, such as Windows DLLs written in C, C++, or other languages. Figure 2 illustrates a needed representative C# structure definition.

```
Csharp

[StructLayout(LayoutKind.Sequential)]
public struct timeval
{
    public long tv_sec;    // seconds
    public long tv_usec;  // microseconds
}
```

Figure 2. Representative timeval Structure Definition Under P/Invoke for C#

Java, JavaScript, and Python are other highly popular languages. These languages provide means to obtain time counts, but none support the timeval structure directly.

Section 3: DEFINING WHAT Y2038 IS

Figure 3 illustrates the register issue with Y2038 while Figure 4 shows an overall graphical view.

Bits ->	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
Values ->	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Sign bit Contents 0x00000000 as of: 00:00:00UTC, January 1st, 1970

Bits ->	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
Values ->	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	

Sign bit Contents 0x07FFFFFF as of: 03:14:07UTC, January 19th, 2038

Bits ->	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
Values ->	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Sign bit Contents 0x10000000 as of: 03:14:08UTC, January 19th, 2038

Note: The “setting” of the sign bit indicates “negative” time referenced to 20:45:53UTC on December 13th, 1901

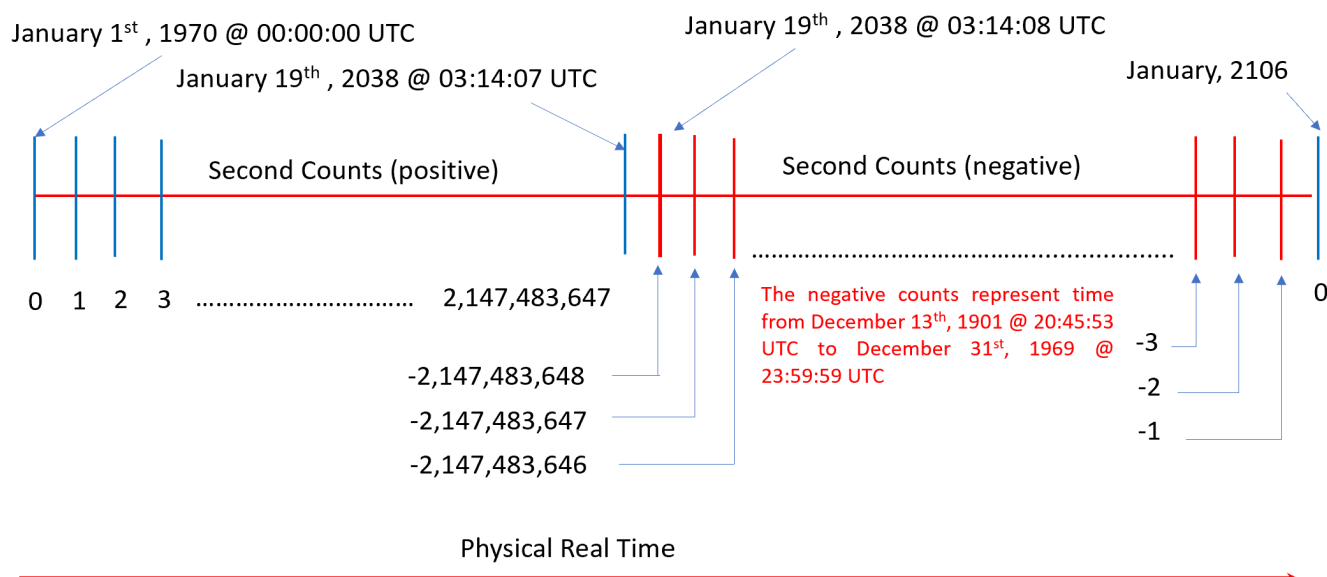


Figure 4. Y2038 Graphical Timeline

Section 4: POSIX

The Portable Operating System Interface (POSIX) is a family of standards specified by the IEEE Computer Society for maintaining compatibility between operating systems.^[4] As of date, the latest version of POSIX is IEEE Std 1003.1-2024 published in June 2024. POSIX defines a set of both

system and user level Application Programming Interfaces to ensure software compatibility (portability) with variants of Unix and other operating systems.

Application Programming Interfaces (APIs)

APIs act as a bridge between different systems, enabling them to exchange data or perform actions without exposing their internal workings. Interaction between systems is essential to software development, especially when multiple teams at different geographical locations are involved. Technically, code written in C/C++ on an x86 based platform adhering to POSIX is supposed to port to a Unix machine that is likewise POSIX compliant.

API Timing Functions

Various timing functions are defined under POSIX. Two prominent examples are `time()` and `asctime()`. The `time()` function returns the number of elapsed seconds since the epoch's inception while `asctime()` converts the raw numerical value into a text readable format. The `time` function is also command-line executable under Unix shells such as Bash.

Deprecated API Functions

Some functions that were available under earlier versions of POSIX are now deprecated under 1003.1-2024. One such example is `gettimeofday()`. It is highly likely that this particular function and others were used in legacy software development. Recognizing these deprecations are essential to evaluating legacy source code.

API Response to Y2038

The APIs timing functions response to Y2038 for legacy systems is implementation dependent and platform specific. The response on an x86 machine may not necessarily be the exact same on a Unix platform.

In one known case, a platform was based on an x86 Single Board Computer (SBC) running a Real-Time-Operating-System (RTOS). The deprecated `gettimeofday()` responded with 0 for success or a -1 for failure.

As shown (Figure 1), the `timeval` structure supports both negative and positive integers. Under Y2038 rollover, it is predicted for the known case that `gettimeofday()` will respond with a success indication, but the time content is incorrect.

API Test Cases

Test cases should be devised to examine API behavior immediately prior to and after Y2038. Software errors tend to occur at or near a boundary.^[5] Testing can be conducted in the safety of a lab environment. Knowing the exact response of any particular API on a given platform to Y2038 is essential to understanding and predicting a legacy system's potential vulnerability.

Section 5: EMBEDDED COMPUTER SYSTEMS

Many 32-bit legacy platforms were/are designed to serve as an Embedded Computer System (ECS). The simplest definition of an ECS is a platform combination of hardware and software designed to manage dedicated tasking.^[6] Tasking examples include fuel flow management, regulating temperature or pressure, and motor control. An ECS is implemented as either a stand-alone platform or incorporated as a sub-component within a larger system. Typically, no human interface is required for an ECS although readable data may be presented to an equipment operator. An operator may also have access to a system reset button.

ECS Hardware

An ECS is most often implemented via a micro-controller or SBC. There are key differences between the two hardware platforms.^[7]

A micro-controller is a compact integrated circuit containing a CPU, memory, and peripherals on a single chip. They are ideally suited for managing dedicated tasking such as monitoring an input from a single sensor or transducer and implementing corrective action if a parameter falls out of bounds. Figure 5 illustrates an image of a micro-controller.

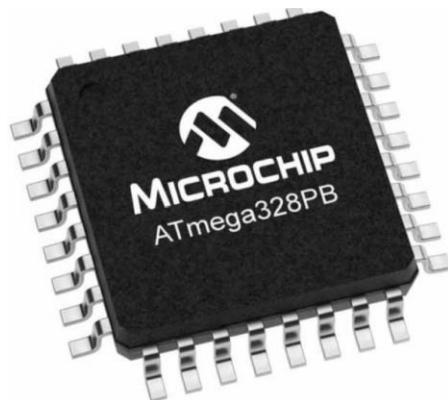


Figure 5. ATmega328PB Micro-controller

A SBC is a complete computer built on a single circuit board, including a processor, RAM, storage, and I/O ports. SBCs are designed on form factors such as PC-104 or the Embedded Platform for Industrial Computing (EPIC). These form factors define dimensions, outlines, and shapes. Figure 6 illustrates a legacy SBC implemented on a PC-104 form factor.

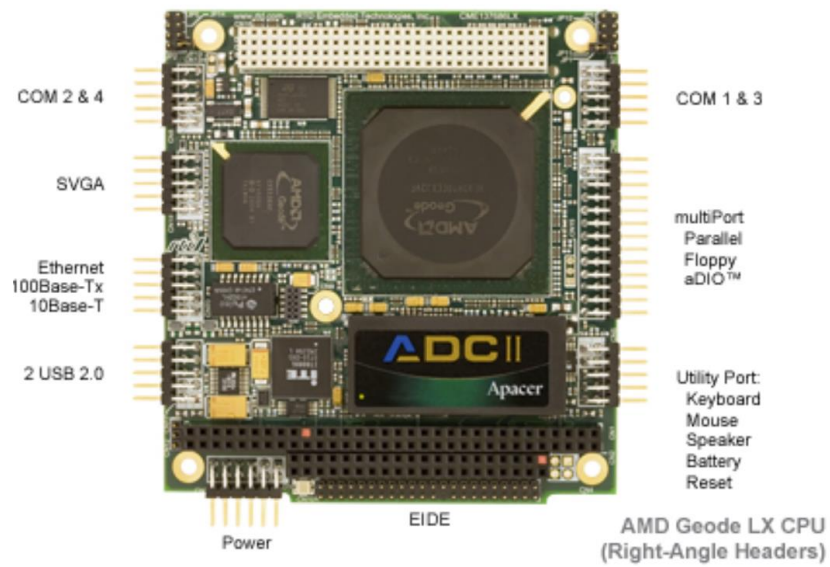


Figure 6. A Legacy 32-Bit PCI/ISA SBC on a PC-104 Form Factor

Programming Support for Micro-controllers and SBCs

Programming a micro-controller usually starts with writing source code under high level languages such as C/C++. The source code is then compiled into machine language for a particular micro-controller. The compiled source code is uploaded to the micro-controller via a USB port or programmer. This process transfers the binary code into the micro-controller's memory.^[8] Figure 7 is a programmer unit example.



Figure 7. uC Programmer Unit Example

SBCs run general purpose operating systems such as Windows or Linux. They may also run a Real-Time-Operating-System (RTOS).^[9] QNX^[10] and VxWorks^[11] are two examples. It is not the intent of this paper to describe an RTOS in detail, only to make their existence known.

These operating systems support Integrated Development Environments (IDEs) that provide compilers, tools, and text editors to write and compile source code written in high level languages. The resulting executable file is written directly to the SBC.

Section 6: PROCESS & MULTI-THREADING

There are two key terms associated with an ECS: process & multi-threading. The extent to which these terms are supported depends upon application requirements and ECS construction.

Process

The simplest explanation of a process is a running instance of a program.^[12] The executable file representing the program is loaded, assigned a memory segment (typically by the operating system), and commences execution. SBCs support 'n' number of concurrently running processes while a micro-controller may be limited to only one. Each process has its own memory allocation.

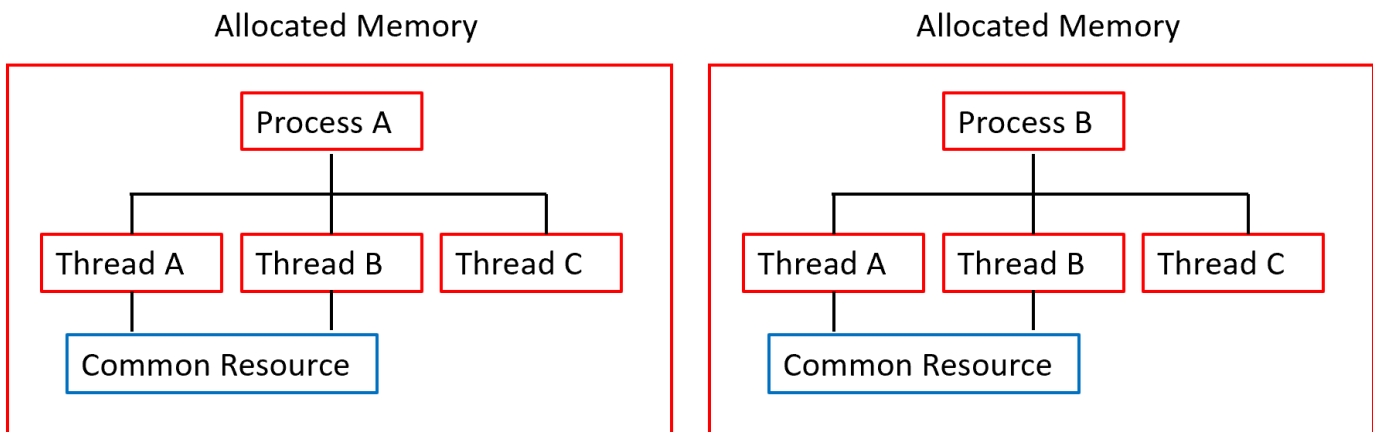
Threads

A thread is the smallest set of instructions that can be executed under a parent.^[13] An easier way to think of a thread is that of a task. As an example, one thread may monitor an input condition while a second thread initiates control action if a given parameter falls out of bounds.

Threads share the same memory space within a process and may possibly exist as independent entities. However, it is more likely that dependencies are involved. Threads may need to share resources or signal each other. It is essential that software design manage resource sharing to prevent "hogging".

Graphical Illustration

Figure 8 is a simplified graphical illustration of processes and threading.



Notes:

1. Two processes are shown, each running under their respective memory allocation.
2. Thread C under each process is assumed to be independent.
3. Threads A and B share a resource, thus a dependency.

Figure 8. Graphical Illustration of Processes and Threads

Section 7: SAFETY CRITICAL SYSTEMS

Safety Critical Systems (SCS) are implemented across various engineering disciplines to support aerospace, automotive, aviation, communications, medical, mining, manufacturing, oceanography, power generation, and space exploration.

Definition of an SCS

The simplest definition of a SCS is that failure of such a system results in unacceptable consequences.^[14] Such consequences include severe financial loss, catastrophic equipment damage, serious injury, death, or environmental damage.^[15]

ECS Support for SCSs

ECSs are often designed to directly implement an SCS. The ECS complexity is directly related to the SCS requirements for a given application. A micro-controller running a single thread process is often sufficient if the requirement is to monitor a single physical parameter such as pressure and open or close a relief valve. SBCs are more often necessary when multiple conditions are present and extensive decision/control making is required. These circumstances may also require the use of an RTOS with strict deterministic scheduling capability to guarantee response time.^[16]

Section 8: BIOS FOR LEGACY 32-BIT SYSTEMS

BIOS (Basic Input/Output System) is primarily applicable to x86 based platforms and is a fundamental component of a computer system.^[17] BIOS is firmware that resides in a small memory chip on the motherboard. A good analogy is that of starting a vehicle. The ignition switch (power on) is engaged to activate a starter motor (BIOS) that turns the flywheel (bootloader) rotating the crankshaft (operating system), thus starting the engine (computer).

There is a modern-day update to BIOS, the Unified Extensible Firmware Interface (UEFI) that provides more capability than older versions.

BIOS Time Keeping

BIOS maintains a system clock that tracks real time.^[18] The system clock may be referenced to either a local time zone or UTC, although UTC is the general standard. This selection is most often implemented through a BIOS setup utility. The system clock is used for purposes such as timestamping files and task scheduling. It is important to note that Y2038 is inherently an operating system and application layer issue – not BIOS. However, 32-bit BIOS setup utilities typically do not support time beyond the Y2038 rollover. This situation presents an interesting paradox.

Section 9: Y2038 EXPECTATIONS FOR LEGACY 32-BIT SYSTEMS

Failure must be carefully defined in the proper context. A hard crash is obviously a failure. However, if an application on a given platform continues running, but the time data is incorrect, is this a failure? This question is difficult to answer and is entirely application dependent.

If an application is only checking for relative elapsed time, the answer may very well be “no”. Five seconds elapsed time immediately after the Y2038 rollover is still five seconds prior to the rollover. However, the answer could just as easily be “yes” if the system time is compared to an external source to maintain synchronization with a master clock.

Applications on a 32-Bit SBC

32-Bit applications implemented on a SBC running general-purpose operating systems (Windows, Linux) or an RTOS are generally subject to failure. Task schedulers may exhibit erratic behavior due to negative time that seriously impedes deterministic operation for an RTOS – such action is detrimental to a SCS.

Micro-Controller Based Applications

Many micro-controller applications do not run an operating system and execute a single process single thread.^[19] Timing operation is often based on an internal oscillator running a crystal source. The oscillator generates clock cycles to execute instructions. Such micro-controller systems are expected to be less vulnerable to Y2038 rollover.

However, more complex micro-controllers may not be as fortunate. If the controller uses Unix-derived timestamps, interfaces with a Real-Time Clock, or exchanges POSIX time with external systems, then there is a high risk of Y2038 vulnerability.

Section 10: PLATFORM EVALUATIONS

Complex platforms such as an aircraft or vessel contain hundreds of ECSs. These computer systems manage communications, navigation, propulsion, air frame control, steering, and a host of other critical functions – many of which are safety critical.^[20] It is vital that these systems be evaluated to determine Y2038 vulnerability. The following sub-sections illustrate some obstacles that may be encountered.

Documentation

Documentation is essential to design and maintain long term support of any system. System documentation includes original requirements/specifications, drawings, schematics, wiring diagrams, parts list, photographs, test plan, source code, assumptions, and managerial directives to the best extent possible.^[21] What may appear to be an absurdity today was entirely legitimate at the time of original design – well prepared and preserved documentation resolve such issues.

All too often, documentation is lost either in part or whole between original designers and current maintainers as time progresses.^[22] Without the original documentation, it is often difficult (if not impossible) for current maintainers to continue supporting fielded systems.

Access to Original Designers & Developers

Over time, personnel move on to other endeavors, retire, or sadly pass away. Even if these personnel are available, they may have no legal obligation to provide assistance. They could possibly agree to act as a paid consultant provided there is no ethical or legal conflict of interest with a present employer.

Closing Window of Opportunity

As of this writing, Y2038 remains a few years hence. There is a typical propensity within managerial circles to defer (kick the can down the road) action until there is either a hard failure or failure is imminent.^[23] Y2038 is a perfect example of this very mentality – after all, it has not yet happened.

A key concept to understand is that remedial actions cannot occur overnight.^[24] It is not a simple matter of unplugging an old legacy system and replacing it with a new one. Thorough research, planning, and testing are essential to ensure that new systems fully satisfy all existing requirements and meet specifications as originally stated.

How long will it take to evaluate a given platform to determine the number of onboard ECSs that are vulnerable to Y2038? This question is most difficult to answer and is directly dependent upon the size and overall complexity of the platform. An automobile, for example, may only require a few weeks to evaluate whereas an aircraft or vessel could easily take a year or much longer depending on the availability of qualified personnel.

Once systems are identified, remedial plans must be drawn up. It may be necessary to procure a commercial system or design a new prototype for testing. Testing is especially important if a SCS is involved and may require considerable time and budgets.

Now that systems are identified and remedial plans in place, procurements are usually the next step. Contractual complexity depends on various factors such as funding availability, what is being procured, volume, urgency, and the procuring entity (government or private).^[25] The important point is that more time is required.

Removal of legacy systems, replacement, and integration testing requires additional time. Wiring modifications or sensor/transducer replacement may possibly be necessary. In some circumstances, regulatory issues must be complied with.^[26]

Finally, there are scheduling issues. All platforms cannot be taken out of service at once. They must be rotated in (typically during planned maintenance), work performed, then returned to service for others to be brought in.

All of these identified times add up – the Y2038 Window of Opportunity is much narrower than many want to believe. Given so many time unknowns, the window may be pushing a closure limit already.

Inept Managerial Directives

For various reasons, managers may instigate poor decisions that directly affects the capability of current maintainers to support fielded systems for long term and evaluate potential failures such as Y2038.^[27]

Computers that were directly involved in the design and testing of systems may be confiscated just because they are “old” and considered a security risk. Yet, these computers housed the development environment, compilers, analysis tools, debuggers, and network interfaces that produced a given system. Maintainers are often hard pressed to find newer tools that are fully backward compatible. This situation only worsens over time.

Defunct or Merged Businesses

There are many examples of businesses that have merged or otherwise become defunct.^[28] Defunct businesses represent the worst case as there is little recourse for current maintainers. Legacy systems designed by such companies are typically at high risk primarily due to poor, incomplete, or lost documentation.

Mergers represent a different set of circumstances. If archiving is not properly managed before and during a merger, it may prove extremely difficult to obtain continued product support for legacy systems.^[29]

Reluctance

There is an old adage “If it ain’t broke, don’t fix it” that is directly applicable to Y2038.^[30] Legacy systems are not broke – they continue to function reliably and provide accurate results.

Y2038 clearly illustrates the concept of pro-action versus reaction. Pro-action attempts to take steps to either prevent a pending failure or at least mitigate the results.^[31] Reaction does just the opposite – do nothing until a hard failure occurs.

Parallels to Y2K and Lesson Lost

The infamous Y2K Bug resulted from the inability of legacy hardware and software to distinguish the year 2000 from 1900.^[32] The first mention of the bug was on a Usenet newsgroup occurring on Saturday, January 19, 1985 by Usenet poster Spencer Bolles.^[33] The term “Y2K” is attributed to David Eddy, a Massachusetts programmer in a June 12, 1995 Email.^[34]

The US government passed the Year 2000 Information and Readiness Disclosure Act in October 1998 that encouraged companies to share information about the status of their Year 2000 compliance efforts.^[35]

Considerable efforts were expended by governments, militaries, academia institutions, and businesses to deal with Y2K. In some cases, both hardware platforms and software were replaced.^[36] Other situations required scouring through multiple lines of existing source code to find and fix issues.^[37] The worldwide price tag for Y2K is estimated between 300 to 600 billion dollars.^[38]

Y2K taught one import lesson that appears to be largely forgotten. Don’t wait until a crisis occurs to deal with it when the issue is known well in advance. Y2038 has been known for years, yet there seems to be an overall complacency towards it.^[39]

Legal Issues

System maintainers investigating Y2038 may very well encounter legal issues. Manufacturers are usually reluctant to divulge details regarding inner workings of their products.^[40] They may agree to do so only if a Non-Disclosure Agreement (NDA) is signed. “A non-disclosure agreement is a legal contract that protects confidential information by preventing those who sign it from disclosing the information to others.”^[41] It is most important that a signee has the proper legal authority to sign such an agreement.

CONCLUDING REMARKS

Legacy 32-bit systems have served well, but their future is limited. There are many applications where the use of 32-bit micro-processors remain justified.^[42] Applications processing high data volume most often requires a 64-bit architecture (micro-controller or SBC).^[43] Unless a 32-bit architecture can be clearly justified for new development, designers should focus on 64-bit.

64-Bit Architecture

A 64-bit signed integer runs from -9,223,372,036,854,775,808 to +9,223,372,036,854,775,807. The epoch rollover is an astronomically large value - December 4th, 20:10:55UTC in the year 292,277,026,596.^[44] This number defies any physical or rational means of understanding.

Contractual

64-Bit architecture (hardware and software) should be explicitly specified in contractual procurements for new systems where applicable. Without such a specification, contractors and manufactures may continue to rely upon legacy 32-bit components and only exacerbate the existing Y2038 problem.

Task Forces

Organizations should begin forming task forces to evaluate Y2038. Examining systems for Y2038 vulnerabilities, especially on large platforms, appears to be a daunting task. The task can be managed and implemented through proper management.^[45]

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